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**REPORT TO: MORAY INTEGRATION JOINT BOARD AUDIT, PERFORMANCE AND RISK COMMITTEE ON 24 JUNE 2021**

**SUBJECT: STRATEGIC RISK REGISTER – JUNE 2021**

**BY: CHIEF OFFICER**

**1. REASON FOR REPORT**

- 1.1 To provide an overview of the current strategic risks, along with a summary of actions which are in place to mitigate those risks, updated June 2021.

**2. RECOMMENDATION**

- 2.1 It is recommended that the Audit, Performance and Risk Committee (APR) agree to:**

- i) consider and note the updated Strategic Risk Register included in APPENDIX 1; and**
- ii) note the Strategic Risk Register will be further refined to align with the transformation plans as they evolve.**

**3. BACKGROUND**

- 3.1 The strategic risk register is reviewed regularly by the Senior Management Team as part of a robust risk monitoring framework, to identify, assess and prioritise risks related to the delivery of services in relation to delegated functions, particularly any which are likely to affect the delivery of the Strategic Plan.
- 3.2 The Moray Integration Joint Board (MIJB) Strategic Risk Register is attached to this report at **APPENDIX 1** and sets out the inherent risks being faced by the MIJB, together with a current assessment on the level of the risks and mitigating actions being taken to reduce the impact of the risks.
- 3.3 Risk scores are weighted, based on assessment according to their likelihood and corresponding impact, as per Section 5 of the MIJB Risk Policy.

- 3.4 The Strategic Risks received an initial review to ensure they align to the Moray Partners in Care 2019 – 2029 strategic plan which was agreed at MIJB on 28 November 2019 (para 13 of the minute refers).

#### **4. KEY MATTERS RELEVANT TO RECOMMENDATION**

- 4.1 The Risk Management Framework review was completed and outcome was approved by the Board on 25 June 2020 (para 9 of the minute refers). The approved Risk Appetite Statements have been included in **APPENDIX 1**.
- 4.2 The impact of COVID-19 has delayed the development of some aspects of transformation plans. Work overseen by North East Partnership on Home First programme continues to be progressed, in line with our Strategic Plan objectives. However the lockdown from December to March 2021 and the additional spike in Covid-19 numbers in Moray in April required the focus of efforts to be placed on vaccinations. These aspects continue to impact on delivery of developmental work as staff have to continually adjust to respond to the Covid-19 situation. Where the numbers of Covid-19 Delta variant continue to increase, the impact of reduced capacity for developmental work is predicted to continue over the summer months, where there will an increased risk of staff absence due to requirements to isolate.
- 4.3 There continues to be significant financial planning and budgetary work with partner organisations to maintain oversight of the additional funding and resource that have been made available from Scottish Government and endowments. This work will need to continue over the year as budgets return to their pre-Covid levels and services adjust.
- 4.4 Recruitment and selection to staff vacancies is proving challenging across the services. The challenges occur in relation to lack of appropriate applications to some posts and also the time taken to for the recruitment process in employing organisations to be followed. There are also delays incurred from external partners such as obtaining PVG confirmations. There are still staff on redeployments and acting up arrangements and there will be a period of time before services and staff return to “business as normal”. Staff wellbeing continues to be a key priority and a significant emphasis is being placed on ensuring that everyone is provided with the support that is available, where it is needed.
- 4.5 As plans evolve, the Strategic Risk Register will be updated to ensure that it reflects any barriers to realising the ambitions we are not enacting, to achieve the vision set out in our Strategic Plan.

#### **5. SUMMARY OF IMPLICATIONS**

**(a) Corporate Plan and 10 Year Plan (Local Outcomes Improvement Plan (LOIP)) and Moray Integration Joint Board Strategic Plan “Moray Partners in Care 2019-2029”**

The MIJB requires effective governance arrangements for those services and functions delegated to it and Risk Management systems are integral to this.

**(b) Policy and Legal**

As set out in the terms of reference, the Board has responsibility to provide assurance of the adequacy of the risk management framework.

There are no legal implications arising from this report.

**(c) Financial implications**

There are no direct financial implications arising from this report however the Board should note the failure to manage risks effectively could have a financial impact for the MIJB.

**(d) Risk Implications and Mitigation**

This report forms part of the governance arrangements for identifying and managing strategic risks of the MIJB.

**(e) Staffing Implications**

There are no additional staffing implications arising from this report. Senior Management Team have considered areas of high risk and are seeking to redeploy staff to address these as a matter of urgency.

**(f) Property**

There are no property implications arising from this report.

**(g) Equalities/Socio Economic Impact**

An Equalities Impact Assessment is not required because there are no service, policy or organisational changes being proposed.

**(h) Consultations**

Consultations have been undertaken with the Senior Management Team and Chief Internal Auditor and comments have been incorporated in this report.

**6. CONCLUSION**

**6.1 This report and appendices contains proposed risk appetite statements that, when approved, will underpin the MIJB approach to strategic decision making.**

**6.2 The report also outlines the current position in relation to the impact of COVID-19 on progress with transformation plans, and recommends the Board note the revised and updated version of the Strategic Risk Register.**

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Background Papers: held by author  
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