



---

**REPORT TO: MORAY INTEGRATION JOINT BOARD AUDIT, PERFORMANCE AND RISK COMMITTEE ON 13 DECEMBER 2018**

**SUBJECT: AUDIT SCOTLAND – UPDATE REPORT ON HEALTH AND SOCIAL CARE INTEGRATION**

**BY: CHIEF FINANCIAL OFFICER**

**1. REASON FOR REPORT**

1.1 To provide the Audit, Performance and Risk Committee with the opportunity to discuss and comment on the update report published November 2018 by Audit Scotland on Health and Social Care Integration.

**2. RECOMMENDATION**

**2.1 It is recommended that the Audit, Performance and Risk Committee:**

- i) discuss and comment on the report attached at Appendix 1; and**
- ii) note the intention to utilise the document as a self-assessment tool in relation to the progress of the Moray Integration Joint Board (MIJB) and present a report back to this Committee on 28 March 2019.**

**3. BACKGROUND**

3.1 In December 2015, Audit Scotland published a report of Health and Social Care Integration, being the first of three planned audits for this area. This first audit, provided a progress report during what was considered the transitional year, prior to the majority of Integration Authorities becoming formally established and highlighted the risks to be addressed as a priority to ensure the success of this major reform.

3.2 The MIJB considered the recommendations made by Audit Scotland in this first report and monitored its progress by way of self-assessment. It worked towards the recommendations made and progress reports were presented to the MIJB at regular intervals.

## **4 KEY MATTERS RELEVANT TO RECOMMENDATION**

4.1 The Audit Scotland 'Health and Social Care Integration – Update of progress' report was published on 15 November 2018 and is the second of three planned audits of integration. The key objective of this audit was to examine the impact public bodies are having as they work together to integrate health and social care services in line with the Public Bodies (Joint Working) (Scotland) Act 2014. The audit considered in detail:

- What impact integration is having and what the barriers and enablers are to change;
- how effectively Integration Authorities are planning sustainable, preventative and community-based services to improve outcomes for local people;
- how effectively Integration Authorities, NHS Boards and Councils are implementing the reform of health and social care integration; and
- how effectively is the Scottish Government supporting the integration of health and social care and evaluating its impact.

### **4.2 Key Points from the Report**

4.2.1 The resources being directed by Integration Authorities across Scotland is almost £9 billion in the context of £15 billion of the total Scottish Government budget being allocated to Health and Social Care.

4.2.2 The review noted that the introduction of more collaborative ways of delivering services has brought with it improvements in several areas, including reducing unplanned hospital activity and delayed discharges.

4.2.3 The report acknowledged the financial pressures across health and social care and highlighted a fundamental issue in the absence of integrated financial planning which is focussed on the best outcomes for people who need support. The issue around the 'Set Aside' budget was also outlined as being a key part of the legislation that has not been enacted in most areas.

4.2.4 A need for improved strategic planning was considered a crucial factor in speeding up the change process, addressing the issue of the capacity and high turnover amongst leadership teams.

4.2.5 The audit report reiterated the message that significant changes are required in the way that health and care services are delivered with improvements required to engagement amongst staff, communities and politicians at both national and local level. It emphasised the need for working together, being open and honest about the changes required to sustain health and care services in Scotland.

### **4.3 Audit Scotland Recommendations**

4.3.1 The report was very clear in the sense that for meaningful address of the issues raised throughout the report, it would require integration authorities, NHS Boards, Local Authorities, Scottish Government and COSLA to work together. The recommendations made were for :

- Commitment to collaborative leadership and building relationships
- Effective strategic planning for improvement
- Integrated finances and financial planning
- Agreed governance and accountability arrangements
- Ability and willingness to share information
- Meaningful and sustained engagement

#### 4.4 MIJB Development

- 4.4.1 The report acknowledged the progress made to date and the reality that further progress will be impossible without all parties working together to address the areas outlined in the recommendations. With this in mind it is considered a reasonable approach for the MIJB through the Audit Performance and Risk Committee to address the recommendations made in the Audit Scotland report by conducting a self-assessment of the perceived position to date and then reporting back at regular intervals to monitor progress but also to identify areas where concentrated focus would be beneficial.
- 4.4.2 At the time of writing this report, Audit Scotland were preparing for discussions with Scottish Government and key groups to establish how the recommendations might be addressed at the highest level. Outcomes will be considered and incorporated into a self-assessment and monitoring process for the MIJB where the intention is to present a report to the next meeting of the Audit Performance and Risk Committee on 28 March 2019.

## 5 SUMMARY OF IMPLICATIONS

### (a) **Corporate Plan and 10 Year Plan (Local Outcomes Improvement Plan (LOIP)) and Moray Integration Joint Board Strategic Commissioning Plan 2016 – 2019**

Close monitoring of progress of the recommendations made in the Audit Scotland update report of Integration will support delivery of the Board's Strategic Plan.

### (b) **Policy and Legal**

The Audit Scotland update report on health and social care integration retains the Public Bodies (Joint Working) (Scotland) Act 2014 as its key focus establishing the progress of Integration Authorities.

### (c) **Financial implications**

None arising directly from this report

### (d) **Risk Implications and Mitigation**

Consideration of the recommendations made by Audit Scotland in the update report will ensure the MIJB are remaining consistent with

legislation and are actively addressing the findings being reported on a Scotland-wide basis.

**(e) Staffing Implications**

None arising directly from this report

**(f) Property**

None arising directly from this report.

**(g) Equalities/Socio Economic Impact**

None arising directly from this report.

**(h) Consultations**

Consultation has taken place with Caroline Howie, Committee Services Officer and the Legal Services Manager (Litigation and Licencing), Moray Council and the MIJB Chief Internal Auditor. Any comments received have been considered in writing this report.

**6 CONCLUSION**

- 6.1 The Audit Scotland update report of Health and Social Care Integration is the second of three planned audits on integration. A self-assessment approach against the recommendations made is considered proactive in addressing the national issues being highlighted to date.**

Author of Report: Tracey Abdy, Chief Financial Officer, MIJB

Background Papers: [http://www.audit-scotland.gov.uk/uploads/docs/report/2018/nr\\_181115\\_health\\_socialcare\\_update.pdf](http://www.audit-scotland.gov.uk/uploads/docs/report/2018/nr_181115_health_socialcare_update.pdf)

Ref: