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**REPORT TO: MORAY INTEGRATION JOINT BOARD AUDIT, PERFORMANCE AND RISK COMMITTEE ON 27 SEPTEMBER 2018**

**SUBJECT: STRATEGIC RISK REGISTER – SEPTEMBER 2018**

**BY: CHIEF OFFICER**

**1. REASON FOR REPORT**

1.1 To provide an overview of the current strategic risks, along with a summary of actions which are in place to mitigate those risks, updated as at September 2018.

**2. RECOMMENDATION**

2.1 **It is recommended that the Audit, Performance and Risk Committee consider and note the updated Strategic Risk Register.**

**3. BACKGROUND**

3.1 The strategic risk register is reviewed regularly as part of a robust risk monitoring framework, to identify, assess and prioritise risks related to the delivery of services in relation to delegated functions, particularly any which are likely to affect the delivery of the Strategic Plan.

3.2 The Moray Integration Joint Board (MIJB) Strategic Risk Register is attached to this report as **APPENDIX 1** which sets out the inherent risks being faced by the MIJB, together with a current assessment on the level of the risks and any mitigation actions being taken to reduce the impact of the risks.

**4. KEY MATTERS RELEVANT TO RECOMMENDATION**

4.1 Risk scores are weighted based on assessment according to their likelihood and corresponding impact as per Section 5 of MIJB Policy. Guidance notes have been prepared for managers to assist with the assessment of risk and scoring and an extract of this is provided in **APPENDIX 2**.

- 4.2 Changes such as inclusion or removal from the register are agreed by the Chief Officer and Senior Management Team before submission to Audit, Performance and Risk Committee for review.
- 4.3 Strategic Risks will be reviewed as the new Strategic Plan for 2019-2022 is developed and this document will be revised accordingly.

## **5. SUMMARY OF IMPLICATIONS**

### **(a) Corporate Plan and 10 Year Plan (Local Outcomes Improvement Plan (LOIP)) and Moray Integration Joint Board Strategic Commissioning Plan 2016 – 2019**

The MIJB requires effective governance arrangements for those services and functions delegated to it and Risk Management systems are included in this.

### **(b) Policy and Legal**

As set out in the terms of reference, this Committee has responsibility to provide assurance of the adequacy of the risk management framework.

There are no legal implications arising from this report.

### **(c) Financial implications**

There are no direct financial implications arising from this report however the Committee should note the failure to manage risks effectively could have a financial impact for the MIJB.

### **(d) Risk Implications and Mitigation**

This report forms part of the governance arrangements for identifying and managing strategic risks of the IJB.

### **(e) Staffing Implications**

There are no staffing implications arising from this report.

### **(f) Property**

There are no property implications arising from this report.

### **(g) Equalities/Socio Economic Impact**

An Equalities Impact Assessment has not been completed because there are no service, policy or organisational changes being proposed.

### **(h) Consultations**

Consultations have been undertaken with the Chief Financial Officer and Chief Internal Auditor and comments have been incorporated in this report.

**6. CONCLUSION**

**6.1 This report recommends the Committee note the revised and updated version of the Strategic Risk Register.**

Author of Report: Jeanette Netherwood, Corporate Manager  
Background Papers: held by author  
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