



REPORT TO: MORAY INTEGRATION JOINT BOARD ON 28 JANUARY 2021

SUBJECT: GOVERNANCE FRAMEWORK

BY: INTERIM CHIEF OFFICER

1. REASON FOR REPORT

- 1.1 To present the draft Health and Social Care Moray Governance Framework for approval and implementation.

2. RECOMMENDATION

- 2.1 **It is recommended that the Moray Integration Joint Board (MIJB);**
- i) **consider and approve the draft Governance Framework at APPENDIX A to this report, and**
 - ii) **note that the framework will be reviewed on a regular basis and an update provided to the Board on any proposed significant amendments.**

3. BACKGROUND

- 3.1 The MIJB was formally established in 2016 following the enactment of Scottish Government legislation to formally integrate health and social care services ([Public Bodies \(Joint Working\) \(Scotland\) Act 2014](#)). The MIJB is a legal entity established under the 'Body Corporate' model for health and social care integration. As a Joint Board it brings together equal representation from the Health Board and Local Authority and includes representation from other stakeholders to ensure the interests of the Third and voluntary sectors, primary care, staff, service users and carers are considered. This is to ensure joint decision-making and accountability in the planning and delivery of health and social care services to the communities within the respective areas.
- 3.2 The Integration Scheme for Moray describes the formal arrangements for how the planning and delivery of services will be organised and managed within

Moray to deliver improved outcomes for the individuals who receive care and support across health and social care.

3.3 The Integration Scheme further describes the regulatory framework governing the MIJB, its members and duties in line with the provisions of the 2014 Act. This includes:

- Functions delegated to the MIJB by Moray Council and NHS Grampian
- Responsibilities of the MIJB and membership arrangements
- Chief Officer role and reporting/accountability arrangements
- Clinical and professional governance and leadership arrangements
- Financial management arrangements including role of the Chief Finance Officer.

3.4 This Framework does not replace, but rather serves as a supplementary paper to the Moray Integration Scheme and existing governance documents pertaining to the MIJB (see Appendix 1 of **APPENDIX A** to this report, for summary of key references)

3.5 This Framework describes the overarching governance principles and how the complex landscape for the MIJB, Health & Social Care Moray (HSCM) and partners is navigated. This document underpins the delivery of the MIJB Strategic Plan, “Partners in Care” approved in November 2019 (para 13 of the minute refers) along with other key governance documents:-

- Organisational Development Plan
- Medium Term Financial Framework
- Communication and Engagement Strategy
- Performance Management Framework
- Workforce Plan

4. KEY MATTERS RELEVANT TO RECOMMENDATION

4.1 There has been a delay in progressing this work due to the impact of Covid on priorities for staff and on the governance processes being adopted. During the lockdown that commenced in March 2020 NHS Grampian, Moray Council and HSCM adopted a command and control approach to lead their organisations to meet national priorities.

4.2 Interim governance arrangements were put in place during this time. The Chief Officer and Chair of MIJB implemented revised governance arrangements for MIJB including the delegation of authority to the Chief Officer. The agenda items for MIJB were reduced, Audit Performance and Risk Committee was suspended, with essential business being reported through the MIJB and Clinical and Care Governance was overseen by the Chair of the committee receiving monthly briefings on key aspects to provide assurance that services remained safe and of an appropriate standard.

4.2 Following the Clinical and Care Governance workshop held in January 2020, work on the governance framework had commenced. The output from this workshop has been developed and was initially taken forward by the clinical governance group. This has developed further and the scope of the meeting now encompasses Clinical and Care Governance which reports into the Clinical and Care Governance Committee.

- 4.3 This draft framework was discussed at the Development Session of MIJB held in December 2020 and comments have been incorporated into the document attached in **Appendix A**.
- 4.4 It is recognised that this is an area that will continue to evolve as the MIJB continues to mature and as the long term impacts of the Covid pandemic and the response are realised, therefore the framework will be reviewed on a regular basis and an update will be provided to the Board on any significant amendments.

5. SUMMARY OF IMPLICATIONS

(a) Corporate Plan and 10 Year Plan (Local Outcomes Improvement Plan (LOIP)) and Moray Integration Joint Board Strategic Plan “Moray Partners in Care 2019 – 2029”

This framework sets out the principles that will support the delivery of the Moray Strategic Plan – “Partners in Care 2019 – 2029”

(b) Policy and Legal

None directly associated with this report

(c) Financial implications

None directly associated with this report

(d) Risk Implications and Mitigation

This framework will reduce the potential risk of inconsistency of approach by providing clarity of roles and responsibilities and will assist with communication of the principles to be adopted in relation to governance throughout HSCM, MIJB and relationships with partner organisations and stakeholders.

(e) Staffing Implications

None directly associated with this report

(f) Property

None directly associated with this report

(g) Equalities/Socio Economic Impact

An Equality Impact Assessment is not required as the report does not deal with actions which may impact adversely on groups with protected characteristics.

(h) Consultations

Consultation on this framework have taken place with the Senior Management Team and service managers of HSCM, Head of Legal and Democratic Services and Democratic Services Manager, Moray Council and MIJB members and comments received have been incorporated into the framework and this report.

6. CONCLUSION

- 6.1 The Governance Framework attached at Appendix A is intended to describe the roles and responsibilities within HSCM, MIJB and links with partner organisations. It sets out the commitment to provide assurance mechanisms for partners and stakeholders regarding the provision of safe, efficient and effective services within a structure designed to demonstrate good governance, accountability and transparency in decision making and delivery of the MIJB strategic objectives.**

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Background Papers:	held by author
Ref:	