



REPORT TO: MORAY INTEGRATION JOINT BOARD ON 28 NOVEMBER 2019

SUBJECT: STRATEGIC RISK REGISTER – NOVEMBER 2019

BY: CHIEF OFFICER

1. REASON FOR REPORT

- 1.1 To provide an overview of the current strategic risks, along with a summary of actions which are in place to mitigate those risks, updated November 2019.

2. RECOMMENDATION

- 2.1 It is recommended that the Board consider and note the:**

- i) amendments to the description of risk; and**
- ii) updated Strategic Risk Register included in APPENDIX 1**

3. BACKGROUND

- 3.1 The strategic risk register is reviewed regularly as part of a robust risk monitoring framework, to identify, assess and prioritise risks related to the delivery of services in relation to delegated functions, particularly any which are likely to affect the delivery of the Strategic Plan.
- 3.2 The Moray Integration Joint Board (MIJB) Strategic Risk Register is attached to this report as **APPENDIX 1** and sets out the inherent risks being faced by the MIJB, together with a current assessment on the level of the risks and any mitigation actions being taken to reduce the impact of the risks.
- 3.3 Risk scores are weighted, based on assessment according to their likelihood and corresponding impact, as per Section 5 of the MIJB Risk Policy.

4. KEY MATTERS RELEVANT TO RECOMMENDATION

- 4.1 The Strategic Risks have received an initial review to ensure they align to the new Strategic Plan for 2016-2019. The amendments have been tracked on page one of **APPENDIX 1** for ease of reference. The revised risks have been updated accordingly.

- 4.2 As the Transformation plan evolves, the Strategic Risk Register will be reviewed further to ensure that it reflects any concerns that may impact on the delivery of the objectives set out in the Strategic Plan. Changes such as inclusion or removal from the register will be agreed by the Chief Officer and Senior Management Team before submission to Audit, Performance and Risk Committee for review each quarter.
- 4.3 The Risk Management Framework is under review and it is intended that the development session in February 2020 will include a workshop to consider MIJB risk appetite in relation to delivery of the Strategic Plan.

5. SUMMARY OF IMPLICATIONS

(a) Corporate Plan and 10 Year Plan (Local Outcomes Improvement Plan (LOIP)) and Moray Integration Joint Board Strategic Commissioning Plan 2016 – 2019

The MIJB requires effective governance arrangements for those services and functions delegated to it and Risk Management systems are integral to this.

(b) Policy and Legal

As set out in the terms of reference, the Board has responsibility to provide assurance of the adequacy of the risk management framework.

There are no legal implications arising from this report.

(c) Financial implications

There are no direct financial implications arising from this report however the Board should note the failure to manage risks effectively could have a financial impact for the MIJB.

(d) Risk Implications and Mitigation

This report forms part of the governance arrangements for identifying and managing strategic risks of the IJB.

(e) Staffing Implications

There are no additional staffing implications arising from this report. Senior Management Team have considered areas of high risk and are seeking to redeploy staff to address these as a matter of urgency.

(f) Property

There are no property implications arising from this report.

(g) Equalities/Socio Economic Impact

An Equalities Impact Assessment is not required because there are no service, policy or organisational changes being proposed.

(h) Consultations

Consultations have been undertaken with the Chief Financial Officer and Chief Internal Auditor and comments have been incorporated in this report.

6. CONCLUSION

6.1 This report recommends the Board note the revised and updated version of the Strategic Risk Register.

Author of Report:	Jeanette Netherwood, Corporate Manager
Background Papers:	held by author
Ref:	