LOCAL CODE OF CORPORATE GOVERNANCE

APPENDIX 2

GOVERNANCE PRINCIPLES		
Principle A **Behaving with integrity, demonstrating strong commitment to ethical values and respecting the rule of law**		
SOURCES OF ASSURANCE		
MIJB	**MORAY COUNCIL**	**NHS GRAMPIAN**
Code of Conduct	Codes of Conduct	Codes of Conduct
Financial Regulations (Nov 2023)	Financial Regulations & Authorisation policy	Standing Financial Instructions
Standards Officer Appointment (April 2024)	Scheme of Administration	Schedule of Reserved Decisions
Standing Orders (May 2022)	Standing Orders	Operational Scheme of Delegation
Register of Interests	Human Resources Policies	Standing Orders
Scheme of Administration (June 2018)	Scheme of Delegation	Human Resources Policies (and Once for Scotland policies around Employee Conduct)
Integration Scheme (Mar 2023)	Register of Interests	Staff Governance Committee
Updated IJB Report Format (Sept 2022)	Integrity Group	Register of Interests
Information Commissioners Officer Registration	Employee Induction Process	Feedback Service
Duty of Candour Arrangements	Computer Use Policy	Staff Surveys
Whistleblowing Standards	Duty of Candour Arrangements	Employee Induction Process
Members handbook (2020)	Monitoring Officer Annual Report	SLT Compliance Sub Group
Care for People Plan	Staff Engagement Programme	Duty of Candour Arrangements
	E-Learning module on GDPR	Policy for the Prevention, Detection and Investigation of Suspected Fraud, Theft or Corruption
	Whistleblowing policy	Whistleblowing Policy

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GOVERNANCE PRINCIPLES		
Principle B **Ensuring openness and comprehensive stakeholder engagement**		
SOURCES OF ASSURANCE		
MIJB	**MORAY COUNCIL**	**NHS GRAMPIAN**
Strategic Plan (2022-2032)	Community Consultation & Engagement	Annual Delivery Plan (includes Financial Plan)
Performance Management Framework	Complaints Procedures & Responses	Performance Management Framework
Annual Performance Report	Use of Social Media	Freedom of Information Compliance
Communications & Engagement Strategy	Freedom of Information Compliance	Feedback Service
Community Consultation	Locality Planning	Population Health Committee
Third Sector Involvement	Community Asset Transfers	Grampian Clinical Strategy
IJB Membership – Broad Range of Stakeholders	Participatory Budgeting Plans	NHS Grampian Area Partnership Forum
Use of Social Media		Equality, Diversity and Human Rights Policy
Freedom of Information Compliance		Stakeholder Engagement Framework
Workforce Forum		
Complaints Handling Policy		
Staff Surveys		
Governance Framework		
Community Risk Register (2021)		
IJB & Committee meetings held in public		
Unpaid Carers Strategy		

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GOVERNANCE PRINCIPLES		
Principle C **Defining outcomes in terms of sustainable economic, social and environmental benefits**		
SOURCES OF ASSURANCE		
MIJB	**MORAY COUNCIL**	**NHS GRAMPIAN**
Strategic Plan (2022-2032)	Moray 2027 – a Plan for the Future	Annual Delivery Plan (includes Financial Plan)
	Corporate Plan – currently being updated	Governance Framework
Annual Performance Report	Community Planning Board	Performance Management Framework
Performance Management Framework	Local Outcome Improvement Plans – Updated with Partner Agencies	Population Health Committee
Climate Change Duties and Responsibilities	Governance Framework	Grampian Clinical Strategy
	Performance Management Framework	Equality & Diversity: Outcomes
Equalities Outcomes (April 2021)	Best Value Report & Action Plan	
	Improvement and Transformation Plans	

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GOVERNANCE PRINCIPLES		
Principle D **Determining the interventions necessary to optimise the achievement of intended outcomes**		
SOURCES OF ASSURANCE		
MIJB	**MORAY COUNCIL**	**NHS GRAMPIAN**
Risk Management Processes	Risk Management Processes	Risk Management Processes
Audit Planning (Internal & External)	Audit Planning (Internal & External)	Audit Planning (Internal & External)
Performance Management Framework	Performance Management Framework	Performance Management Framework
Budget Monitoring Processes	Budget Monitoring Processes	Budget Monitoring Processes
Financial Planning	Financial Planning – in line with Cipfa's FM Code Principles	Financial Planning
MIJB Committee Structure	Moray Council Committee Structure	Health & Safety Committee
	Asset Management Group	Annual Accountability Review
Health and Safety Arrangements		Asset Management Group
Business Continuity Planning	Workforce and ICT Strategies	Ministerial Strategic Group – Self Assessment May 2019
Ministerial Strategic Group – Self Assessment – May 2021	Guide to Scrutiny at Moray Council	Grampian Clinical Strategy
Governance Framework		Clinical Governance Committee

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GOVERNANCE PRINCIPLES		
Principle E **Developing the entity's capacity, including the capability of its leadership and the individuals within it.**		
SOURCES OF ASSURANCE		
MIJB	**MORAY COUNCIL**	**NHS GRAMPIAN**
Workforce Planning and Organisational Development	Workforce Planning	Employee Induction Processes
Workforce Forum	Elected Member Induction / Development	Board Member Induction
MIJB Voting Member Briefings	Employee Development Team	Staff Partnership Representation
MIJB Development Sessions	Moray Management Methods	Leadership Schemes
Board Member Induction	Employee Induction Processes	Staff Governance Committee
System Leadership Programme	Employee Review and Development Programme	'' We Care'' programme
Strategic Transformation Plan 2023-25	Staff Leadership Forum	NHSG 3 Year Delivery Plan 2023-26
	Best Value Action Plan developed	

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GOVERNANCE PRINCIPLES		
Principle F **Managing risk and performance through robust internal control and strong public financial management.**		
SOURCES OF ASSURANCE		
MIJB	**MORAY COUNCIL**	**NHS GRAMPIAN**
Financial Regulations (Nov 2023)	Financial Regulations (update 29.6.23)	Standing Financial Instructions
Risk Management Strategy and Risk Reporting	Risk Management Policy & Strategy	Budget Monitoring & Financial Planning
Governance Framework	Following the Public Pound – ALEO Funding	Risk Management Framework & Reporting
Budget Monitoring & Financial Planning	Performance Management Framework	Audit Planning (Internal & External)
Production of Annual Accounts	Scheme of Delegation	Policy for the Prevention, Detection and Investigation of Suspected Fraud, Theft or Corruption
Audit Planning (Internal & External)	Section 95 Officer Appointment	Schedule of Reserved Decisions
Business Continuity & Incident Response Plans	Fraud, Theft, Bribery & Corruption Policy	Operational Scheme of Delegation
Performance Management Framework	Participation in National Fraud Initiative	Annual Report and Accounts (including annual governance statement)
Clinical & Care Governance Committee	Information Assurance Group	Performance, Assurance, Infrastructure and Finance Committee
Moray Performance Review (Chief Executive)	Budget Monitoring & Financial Planning	Clinical Risk Management Group
Internal and External Audit Plans	Audit Planning (Internal & External)	
Integration Scheme (Mar 2023)	Procurement Regulations & Training	
Audit, Performance and Risk Committee	Business Continuity Plans – to be revisited post pandemic	

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Risk Policy (Sept 2020)		
Clinical Risk Management Group		
Financial Recovery Plan		
Reserves Policy 2024		
Internal Auditor Reappointment 2024		

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GOVERNANCE PRINCIPLES		
Principle G **Implementing good practices in transparency, reporting and audit to deliver effective accountability.**		
SOURCES OF ASSURANCE		
MIJB	**MORAY COUNCIL**	**NHS GRAMPIAN**
Committee Reporting Framework	Committee Reporting Framework	Board sub-Committee Reporting Framework
Financial Reporting – Budget Monitoring	Financial Reporting – Budget Monitoring	Financial Reporting – Budget Monitoring
Production & Approval of Annual Budget	Production & Approval of Annual Budget	Production of Annual Report and Accounts
Production of Annual Accounts	Production of Annual Accounts	Audit Planning (Internal & External)
Audit Planning (Internal & External)	Audit Planning (Internal & External)	Assurance Framework
Consultation	Statutory Returns	Public Sector Reform Act disclosure
Governance Framework	Consultation	
Externally commissioned review of Commissioned services	Chief Social Work Officer Annual Report	
Locality Plans	Scottish Public Services Ombudsman – Complaints Reporting and Responses	
Climate Change Reporting		