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## **Audit and Scrutiny Committee**

Wednesday, 14 October 2020

**NOTICE IS HEREBY GIVEN** that a Meeting of the **Audit and Scrutiny Committee** is to be held at **remote locations via video-conference**, on **Wednesday, 14 October 2020** at **09:30**.

### **BUSINESS**

1. **Sederunt**
2. **Declaration of Group Decisions and Members Interests \***
3. **Minute of Meeting of 29 January 2020** **5 - 10**
4. **Internal Audit Annual Report 2019-20** **11 - 18**  
Report by Depute Chief Executive (Education, Communities and Organisational Development)
5. **Statement of Outstanding Business** **19 - 22**  
Report by Depute Chief Executive (Education, Communities and Organisational Development)
6. **Internal Audit Planning for the Period to 31 March 2021** **23 - 30**  
Report by Depute Chief Executive (Education, Communities and Organisational Development)
7. **Work of the Internal Audit Section** **31 - 62**  
Report by Depute Chief Executive (Education, Communities and Organisational Development)
8. **Accounts Commission Report** **63 - 76**
9. **External Audit Plan for the Year Ending 31 March 2020** **77 - 94**

10. **Public Sector Internal Audit Standards - External Quality Assessment Update** 95 - 104  
Report by Depute Chief Executive (Education, Communities and Organisational Development)
11. **SPSO Recommendations Report** 105 - 118  
Report by the Chief Executive
12. **Question Time \*\*\***  
Consider any oral question on matters delegated to the Committee in terms of the Council's Scheme of Administration.

### **Summary of Audit and Scrutiny Committee functions:**

**Audit Functions** - Consider reports from the Council's internal auditor & Audit Scotland concerning Council Functions.

**Scrutiny Functions** - Scrutinising the policies of the Council and their effectiveness in meeting the action plans of the Council as set out in the Corporate Development Plan and evaluating the actions of Committees in implementing the action plans set out in the Corporate Development Plan.

**Performance Monitoring** - To receive reports on the performance of and trends within all of the Council's services in terms of service standards and performance information.

**Standards** - To ensure that the highest standards of probity and public accountability are demonstrated.

**Moray Council Committee meetings are currently being held virtually due to Covid-19. If you wish to watch the webcast of the meeting please go to:**  
[http://www.moray.gov.uk/moray\\_standard/page\\_43661.html](http://www.moray.gov.uk/moray_standard/page_43661.html)  
to watch the meeting live.

<b>GUIDANCE NOTES</b>
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\* **Declaration of Group Decisions and Members Interests** - The Chair of the meeting shall seek declarations from any individual or political group at the beginning of a meeting whether any prior decision has been reached on how the individual or members of the group will vote on any item(s) of business on the Agenda, and if so on which item(s). A prior decision shall be one that the individual or the group deems to be mandatory on the individual or the group members such that the individual or the group members will be subject to sanctions should they not vote in accordance with the prior decision. Any such prior decisions will be recorded in the Minute of the meeting.

\*\* **Written Questions** - Any Member can put one written question about any relevant and competent business within the specified remits not already on the agenda, to the Chair provided it is received by the Proper Officer or Committee Services by 12 noon two working days prior to the day of the meeting. A copy of any written answer provided by the Chair will be tabled at the start of the relevant section of the meeting. The Member who has put the question may, after the answer has been given, ask one supplementary question directly related to the subject matter, but no discussion will be allowed.

No supplementary question can be put or answered more than 10 minutes after the Council has started on the relevant item of business, except with the consent of the Chair. If a Member does not have the opportunity to put a supplementary question because no time remains, then he or she can submit it in writing to the Proper Officer who will arrange for a written answer to be provided within 7 working days.

\*\*\* **Question Time** - At each ordinary meeting of the Committee ten minutes will be allowed for Members questions when any Member of the Committee can put a question to the Chair on any business within the remit of that Section of the Committee. The Member who has put the question may, after the answer has been given, ask one supplementary question directly related to the subject matter, but no discussion will be allowed.

No supplementary question can be put or answered more than ten minutes after the Committee has started on the relevant item of business, except with the consent of the Chair. If a Member does not have the opportunity to put a supplementary question because no time remains, then he/she can submit it in writing to the proper officer who will arrange for a written answer to be provided within seven working days.

Clerk Name:

Clerk Telephone: 01343 563014

Clerk Email: [committee.services@moray.gov.uk](mailto:committee.services@moray.gov.uk)

# **THE MORAY COUNCIL**

## **Audit and Scrutiny Committee**

### **SEDERUNT**

Councillor Donald Gatt (Chair)  
Councillor George Alexander (Member)  
Councillor Frank Brown (Member)  
Councillor Theresa Coull (Member)  
Councillor John Cowe (Member)  
Councillor Tim Eagle (Member)  
Councillor Graham Leadbitter (Member)  
Councillor Marc Macrae (Member)  
Councillor Aaron McLean (Member)  
Councillor Maria McLean (Member)  
Councillor Shona Morrison (Member)  
Councillor Derek Ross (Member)  
Councillor Sonya Warren (Member)  
Councillor Walter Wilson (Member)

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